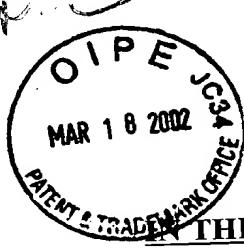


03-20-02

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Docket No. 2026-4237US2

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Smyth-Templeton et al.

Group Art Unit: To Be Assigned

Serial No.: 09/991,028

Examiner: To Be Assigned

Filed: November 20, 2001

For: NOVEL LIPOSOME COMPLEXES FOR INCREASED SYSTEMIC DELIVERY

**EXPRESS MAIL CERTIFICATE**

Express Mail Label No.: EL 853178205 US

Date of Deposit: March 18, 2002

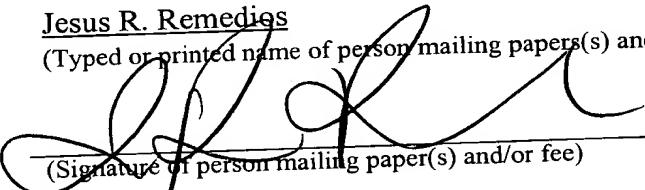
I hereby certify that the following attached paper(s) and/or fee

1. Response to Notice To File Corrected Application Papers (1 page),
2. Copy of Notice to File Corrected Application Papers (1 page),
3. Formal Drawings (12 sheets, Figures 1A-8), and
4. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

Jesus R. Remedies

(Typed or printed name of person mailing paper(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



PATENT  
Docket No. 2026-4237US2

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Smyth-Templeton et al. Group Art Unit: To Be Assigned  
Serial No. : 09/991,028 Examiner: To Be Assigned  
Filed : November 20, 2001  
For : NOVEL LIPOSOME COMPLEXES FOR INCREASED  
SYSTEMIC DELIVERY

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

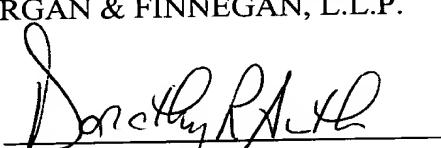
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers dated January 18, 2002, submitted herewith are substitute drawings in compliance with 37 C.F.R. §1.84. Also enclosed is a copy of the Notice to File Corrected Application Papers.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500. Order No. 2026-4237US2. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

By:   
Dorothy R. Auth  
Registration No. 36,434

Date: March 18, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20591  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,028	11/20/2001	Nancy Smyth-Templeton	2026-4237US2

CONFIRMATION NO. 4109  
 FORMALITIES LETTER



\*OC000000007330257\*

MORGAN & FINNEGAN, L.L.P.  
 345 Park Avenue  
 New York, NY 10154-0053

Date Mailed: 01/18/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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Docket No. 2026-4237U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Smyth-Templeton et al.

Group Art Unit: To Be Assigned

Serial No.: 09/991,028

Examiner: To Be Assigned

Filed: November 20, 2001

For: NOVEL LIPOSOME COMPLEXES FOR INCREASED SYSTEMIC DELIVERY

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL 853178214 US

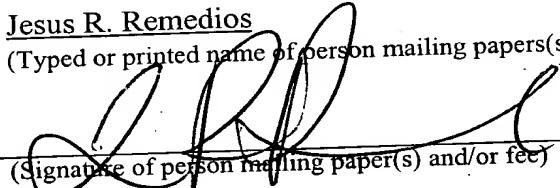
Date of Deposit: March 18, 2002

I hereby certify that the following attached paper(s) and/or fee

1. Request for Correction of Official Filing Receipt (2 pages),
2. Copy of original Filing Receipt with change noted thereon in red ink (1 page),  
and
3. Return Receipt Postcard

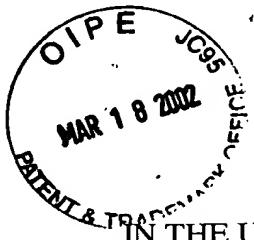
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, To the attention of: Office of Initial Patent Examination's Customer Service Center, Washington, DC 20231.

Jesus R. Remedios  
(Typed or printed name of person mailing paper(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Smyth Templeton, N., et al.  
Serial No. : 09/991,028  
Filed : November 20, 2001  
For : NOVEL LIPOSOME COMPLEXES FOR INCREASED SYSTEMIC  
DELIVERY

Commissioner for Patents  
Washington, D.C. 20231

To the attention of: Office of Initial Patent Examination's  
Customer Service Center

REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT

Sir:

This Communication is submitted to request correction of the official Filing Receipt for the above-identified patent application. A copy of the original Filing Receipt is attached hereto with the requested changes noted thereon in red ink. Applicants respectfully request the issuance of a corrected official Filing Receipt for this application.

Specifically, please correct the domestic priority data as claimed by applicant as follows:

--THIS APPLICATION IS A CON OF 09/242,190  
10/04/1999 WHICH IS A 371 OF PCT/US97/13599 08/01/1997  
WHICH CLAIMS BENEFIT OF 60/024,931 08/19/1996--

as reflected on the Utility Application and Fee Transmittal filed on November 20, 2001.

AUTHORIZATION

No fee is believed to be due for this request. However, should any fee(s) be required, the Commissioner is hereby authorized to charge any fee(s) that is/are properly assessable in this provisional application to Deposit Account No. 13-4500, Order No. 2026-4237US2. A DUPLICATE COPY OF THIS PAPER IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 18, 2002

By:   
Dorothy R. Auth  
Reg. No. 36,434

Correspondence Address:  
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New York, New York 10154-0053  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/991,028	11/20/2001	1615	740	2026-4237US2	15	7	680/2002

CONFIRMATION NO. 4109

## FILING RECEIPT



\*OC00000007330256\*

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053

Date Mailed: 01/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Nancy Smyth-Templeton, Bethesda, MD;  
George N. Pavlakis, Rockville, MD;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/242,190 10/04/1999  
WHICH IS A 371 OF PCT/US97/13599 08/01/1997  
WHICH CLAIMS BENEFIT OF 60/024,931 08/30/1996-<sup>\*</sup> 08/19/1996  
(\*) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 01/16/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

## Title

Novel liposome complexes for increased systemic delivery

## Preliminary Class

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/991,028	11/20/2001	Nancy Smyth-Templeton	2026-4237US2

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 345 Park Avenue  
 New York, NY 10154-0053

  
 \*OC00000007712637\*

Date Mailed: 03/25/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Application Filing Date

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- The date on the Express Mail label is the date given on the Filing Receipt.
- The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office.
- The filing date on your return postcard is the same as the date on your Filing Receipt.

A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be

directed to the attention of the Office of Petitions.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

---

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY